

## Notification of Privacy Policy

The confidentiality of client information is an important concern of Nationwide Planning Associates, Inc. (NPA). We take precautions to safeguard client personal information at all times, and we will remain vigilant in protecting that information. The provisions of this privacy notice will apply to former clients as well as current clients.

To conduct regular business, we may collect nonpublic personal information from sources such as:

- Information reported by you on applications or other forms you provide to us
- Information about your transactions with our affiliates, others, or us

As Nationwide Planning Associates, Inc. shares nonpublic information solely to service our client accounts, we do not disclose any nonpublic personal information about our customers or former customers to anyone, except as permitted by law.

At times, we may disclose nonpublic personal information to affiliated third parties. We may share any of the information that we collect as described above. We may disclose nonpublic personal information about you to the following types of affiliated third parties:

- Financial service providers such as insurance companies, broker dealers, clearing firms, or NPA's data aggregation service providers.

Nationwide Planning Associates, Inc. will internally safeguard your nonpublic personal information by restricting access to only those employees who provide products or services to you or those who need access to your information to service your account. In addition, we will maintain physical, electronic and procedural safeguards that meet federal and/or state standards to guard your nonpublic personal information.

At this time, Nationwide Planning Associates, Inc. **does not** disclose nonpublic personal information to nonaffiliated third parties nor do we anticipate doing so in the future. However, if in the future we decide to disclose nonpublic personal information to nonaffiliated third parties we will, at that time, give you the option to **opt-out** at least 30 days prior to us sharing your information with nonaffiliated third parties. If you were to elect to opt out at that time, we would not disclose your personal information to nonaffiliated third parties.

You do not have to contact us to benefit from NPA's privacy protections; they apply automatically to all of our customers. If you have any questions after reading this privacy policy, however, we encourage you to contact your financial representative or to write to Privacy Management, c/o Compliance Department, Nationwide Planning Associates, Inc., One Paragon Drive, Suite 100, Montvale, NJ 07645.